

GAU 1746

Patent
244/277
P98-0029



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

BERGMAN, Eric J. et al.

Serial No.: 09/061,318

Filed: April 16, 1998

For: APPARATUS AND METHOD FOR
DELIVERING A TREATMENT LIQUID
AND OZONE TO TREAT THE SURFACE
OF A WORKPIECE

) Group Art Unit: 1746

) Examiner: F. Stinson

#20
RECEIVED
FEB 13 2001
1C 1100 MAIL ROOM

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

& STATEMENT UNDER RULE 1.97(e)(1)

Commissioner for Patents
Washington, D.C. 20231

Sir:

In compliance with the Applicants' duty under 37 CFR 1.97-98, the following information is brought to the attention of the Examiner. The items are listed on the attached form PTO-1449 and copies are enclosed for the convenience of the Examiner.

The items identified in this Information Disclosure Statement may or may not be "material" pursuant to 37 CFR 1.56 and the submission thereof by Applicants shall not be construed as an

CERTIFICATE OF MAILING
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Feb. 7
January, 2001
Date of Deposit
LA-178247.1

Laura Murphy
Name of Person Mailing Paper
Laura Murphy
Signature of Person Mailing Paper

admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR 1.97(h)), or even qualifies as "prior art" under 35 U.S.C. § 102 with respect to this invention unless specifically designated by Applicants as such.

The filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information, as defined in 37 CFR 1.56, exists.

Statement Under Rule 1.97(e)(1)

It is hereby certified under 37 CFR § 1.97(e)(1) that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.

Applicant believes that no fee should be required for consideration of this Information Disclosure Statement. Should Applicant be in error, however, please charge any fee required for the consideration of this paper to Deposit Account No. 12-2475.

Respectfully submitted,

LYON & LYON LLP

Dated: January 3/ , 2001

By: Kenneth H. Ohriner
Kenneth H. Ohriner
Reg. No. 31,646

633 West Fifth Street
Suite 4700
Los Angeles, California 90071-2066
(213) 489-1600 - Fax (213) 955-0440